

Quantum harmonic oscillator is a system in Quantum Mechanics similar to the harmonic oscillation, given by $m \frac{d^2x}{dt^2} = -kx$, in Classical Mechanics.

1. Why do we need to study quantum harmonic oscillator?

Although there's no perfect harmonic oscillator in the real world, when considering a second order Taylor Series expansion of the potential energy to approximate the potential energy of a system, we can see that the potential energy of a system can be approximated by a harmonic oscillator.

2. Analytic Method

2.1. Setup of the Problem

In Classical Mechanics, the potential energy of a harmonic oscillator is given by:

$$V(x) = \frac{1}{2}kx^2$$

By plugging this into the Schrödinger Equation, we have:

$$-\frac{\hbar}{2m} \frac{\partial^2 \Psi}{\partial x^2} + \frac{1}{2}kx^2 \Psi = i \hbar \frac{\partial \Psi}{\partial t} \quad (1)$$

Since we know that general solutions to the Schrödinger Equation can be written as the linear combination of separable states, we have:

$$\begin{aligned} \Psi(x, t) &= \sum_{n=1}^{\infty} c_n \psi_n(x) \exp\left(-iE_n \frac{t}{\hbar}\right) \\ \Psi(x, 0) &= \sum_{n=1}^{\infty} c_n \psi_n(x) \end{aligned}$$

Our goal is to find $\psi_n(x)$ that satisfies the time-independent Schrödinger Equation:

$$-\frac{\hbar^2}{2m} \frac{d^2 \psi}{dx^2} + \frac{1}{2}kx^2 \psi = E \psi \quad (2)$$

2.2. Solving the differential equation

In order to solve Eq. 2, we first consider the Hermite differential equation:

$$y'' - 2xy' + 2ny = 0 \quad (3)$$

The solution [1] to Eq. 3 can be derived through series expansion, and is given by:

$$H_n(x) = n! \sum_{j=0}^M \frac{(-1)^j (2x)^{n-2j}}{j!(n-2j)!} \quad \text{where } M = \begin{cases} \frac{n}{2} & \text{if } n \text{ is even} \\ \frac{n+1}{2} & \text{if } n \text{ is odd} \end{cases} \quad (4)$$

For Eq. 3 to take a similar form as Eq. 2, we can consider the substitution $y = \exp\left(\frac{x^2}{2}\right)\varphi$. By making this substitution, we obtain:

$$\begin{aligned} y' &= \exp\left(\frac{x^2}{2}\right)(x\varphi + \varphi') \\ y'' &= x \exp\left(\frac{x^2}{2}\right)(x\varphi + \varphi') + \exp\left(\frac{x^2}{2}\right)(\varphi + x\varphi' + \varphi'') \\ &= \exp\left(\frac{x^2}{2}\right)[(1+x^2)\varphi + 2x\varphi' + \varphi''] \end{aligned}$$

Plugging those into Eq. 3, we have:

$$\begin{aligned} \exp\left(\frac{x^2}{2}\right)[(1+x^2)\varphi + 2x\varphi' + \varphi'' - 2x(x\varphi + \varphi') + 2n\varphi] &= 0 \\ \exp\left(\frac{x^2}{2}\right)[\varphi'' + (2n+1-x^2)\varphi] &= 0 \\ \varphi'' + (2n+1-x^2)\varphi &= 0 \end{aligned} \quad (5)$$

Here, we can already see the similar second derivative, constant term, and x^2 term taking place in the differential equation.

Since we have $\varphi = \exp\left(-\frac{x^2}{2}\right)y$, using Eq. 4, we know that the solution to previous differential equation is:

$$\varphi_n(x) = \exp\left(-\frac{x^2}{2}\right) H_n(x) \quad (6)$$

2.3. Properties of $\varphi_n(x)$

We can now verify some properties of Eq. 6 to prove that it can be a solution to the time-independent Schrödinger equation.

1. Mutually Orthogonal

$$\int_{-\infty}^{\infty} dx \varphi_n(x) \varphi_m(x) = \int_{-\infty}^{\infty} H_n(x) H_m(x) \exp(-x^2) dx$$

Since Hermite polynomials are orthogonal with respect to $\exp(-x^2)$, we have:

$$\int_{-\infty}^{\infty} H_n(x) H_m(x) \exp(-x^2) dx = 0$$

2. Normalizable

$$\begin{aligned} \int_{-\infty}^{\infty} dx \varphi_n^2(x) &= \int_{-\infty}^{\infty} dx H_n^2(x) \exp(-x^2) \\ &= 2^n n! \sqrt{\pi} \end{aligned}$$

2.4. Back to time-independent Schrödinger equation

Going back to Eq. 2. Let $\omega := \sqrt{\frac{k}{m}}$, which means $k = m\omega^2$, we obtain:

$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + \frac{1}{2} m\omega^2 x^2 \psi - E\psi = 0 \quad (7)$$

Let $s := \sqrt{\frac{m\omega}{\hbar}} x$, then $x = \sqrt{\frac{\hbar}{m\omega}} s$ and $dx = \sqrt{\frac{\hbar}{m\omega}} ds$

By Chain Rule for second derivative, we have:

$$\frac{d^2\psi}{dx^2} = \frac{d}{dx} \left(\frac{d\psi}{dx} \right) = \frac{d}{\sqrt{\frac{\hbar}{m\omega}} ds} \left(\frac{d\psi}{\sqrt{\frac{\hbar}{m\omega}} ds} \right) = \frac{m\omega}{\hbar} \frac{d^2\psi}{ds^2}$$

Then, we can plug this into Eq. 7:

$$\begin{aligned}
-\frac{\hbar^2}{2m} \frac{m\omega}{\hbar} \frac{d^2\psi}{ds^2} + \frac{1}{2} m\omega^2 \frac{\hbar}{m\omega} s^2 \psi - E\psi &= 0 \\
-\frac{\hbar}{2} \omega \frac{d^2\psi}{ds^2} + \frac{1}{2} \hbar \omega s^2 \psi - E\psi &= 0 \\
\frac{d^2\psi}{ds^2} - s^2 \psi + \frac{2E}{\hbar \omega} \psi &= 0 \\
\frac{d^2\psi}{ds^2} + \left(\frac{2E}{\hbar \omega} - s^2 \right) \psi &= 0
\end{aligned} \tag{8}$$

As we verified already, Eq. 6 is a solution to Eq. 5 and satisfies the properties of solution to the time-independent Schrödinger equation.

Notice that the only difference between Eq. 8 and Eq. 5 is the $\frac{2E}{\hbar \omega}$ and $(2n + 1)$ term. Therefore, we can consider:

$$E = E_n = \hbar \omega \left(n + \frac{1}{2} \right) \quad \text{where } n \in \mathbb{N}$$

Which allows Eq. 8 to take the same form as Eq. 5.

Putting all the substitutions together into Eq. 6, we have:

$$\begin{aligned}
\psi_n(x) = A_n \varphi_n(s) &= A_n \exp\left(-\frac{s^2}{2}\right) H_n(s) \\
&= A_n \exp\left(-\frac{m\omega}{2\hbar} x^2\right) H_n\left(\sqrt{\frac{m\omega}{\hbar}} x\right)
\end{aligned}$$

To solve for A_n , we normalize $\psi_n(x)$:

$$\begin{aligned}
\int_{-\infty}^{\infty} dx |\psi_n(x)|^2 &= \int_{-\infty}^{\infty} dx |A_n|^2 H_n^2 \left(\sqrt{\frac{m\omega}{\hbar}} x \right) \exp\left(-\frac{m\omega}{\hbar} x^2\right) \\
1 &= |A_n|^2 \sqrt{\frac{m\omega}{\hbar}} \frac{2^n n!}{\pi} \\
|A_n|^2 &= \sqrt{\frac{\hbar}{m\omega}} \frac{\pi 2^{-n}}{n!} \\
|A_n| &= \sqrt{\frac{2^{-n}}{n!}} \left(\frac{\hbar \pi}{m\omega} \right)^{\frac{1}{4}} \\
\Psi(x, t) &= \sqrt{\frac{2^{-n}}{n!}} \left(\frac{\hbar \pi}{m\omega} \right)^{\frac{1}{4}} \exp\left(-\frac{m\omega}{2\hbar} x^2\right) \sum_{n=1}^{\infty} c_n H_n \left(\sqrt{\frac{m\omega}{\hbar}} x \right) \exp\left(-iE_n \frac{t}{\hbar}\right) \\
\text{where } E_n &= \hbar \omega \left(n + \frac{1}{2} \right) \text{ and } \omega = \sqrt{\frac{k}{m}} \\
\text{and } c_n &= \sqrt{\frac{2^{-n}}{n!}} \left(\frac{\hbar \pi}{m\omega} \right)^{\frac{1}{4}} \int_{-\infty}^{\infty} dx \Psi(x, 0) H_n \left(\sqrt{\frac{m\omega}{\hbar}} x \right) \exp\left(-\frac{m\omega}{2\hbar} x^2\right)
\end{aligned}$$

3. Algebraic Method

Recall that we already define $\omega := \sqrt{\frac{k}{m}}$, from Eq. 2 we have

$$\frac{1}{2m} \left[\left(\frac{\hbar}{i} \frac{d}{dx} \right)^2 + (m\omega x)^2 \right] \psi = E\psi \tag{9}$$

3.1. Ladder Operators

Recall from Algebra 1, we have $u^2 + v^2 = (u + iv)(u - iv)$, we can consider [2]:

$$a_{\pm} := \frac{1}{\sqrt{2m}} \left(\frac{\hbar}{i} \frac{d}{dx} \pm im\omega x \right)$$

$$a_- a_+ = \frac{1}{2m} \left[\left(\frac{\hbar}{i} \frac{d}{dx} \right)^2 + (m\omega x)^2 \right] + \frac{1}{2} \hbar \omega$$

$$a_+ a_- = \frac{1}{2m} \left[\left(\frac{\hbar}{i} \frac{d}{dx} \right)^2 + (m\omega x)^2 \right] - \frac{1}{2} \hbar \omega$$

Therefore, we can rewrite Eq. 9 as:

$$\left(a_- a_+ - \frac{1}{2} \hbar \omega \right) \psi = E \psi \quad (10)$$

$$\left(a_+ a_- + \frac{1}{2} \hbar \omega \right) \psi = E \psi \quad (11)$$

3.2. Properties of Ladder Operators

Now, we can consider $a_+ \psi$ and $a_- \psi$. Replacing ψ with $a_+ \psi$ in Eq. 10, we have:

$$\begin{aligned} & \left(a_+ a_- + \frac{1}{2} \hbar \omega \right) (a_+ \psi) \\ &= a_+ \left(a_- a_+ + \frac{1}{2} \hbar \omega \right) \psi \\ &= a_+ \left(a_- a_+ - \frac{1}{2} \hbar \omega + \hbar \omega \right) \psi \\ &= a_+ \left[\left(a_- a_+ - \frac{1}{2} \hbar \omega \right) \psi + \hbar \omega \psi \right] \\ &= (E + \hbar \omega) (a_+ \psi) \end{aligned}$$

We can prove this for $a_- \psi$ similarly that it gives energy $E - \hbar \omega$.

If we consider the state of energy of ψ , applying the ladder operators is increasing and decreasing the energy of ψ , like climbing up and down the ladder of energy.

Therefore, a_+ is called the raising operator and a_- is called the lowering operator.

By instinct, we know that energy can't decrease infinitely, which means that there must be a "ground state", where applying a_- won't give us anything:

$$a_- \psi_0 = 0$$

3.3. Solving ψ_0

Expanding a_- , we have:

$$\begin{aligned}
 \frac{1}{\sqrt{2m}} \left(\frac{\hbar}{i} \frac{d}{dx} - im\omega x \right) \psi_0 &= 0 \\
 \frac{\hbar}{i} \frac{d\psi_0}{dx} - im\omega x \psi_0 &= 0 \\
 \frac{d\psi_0}{dx} + \frac{m\omega x}{\hbar} \psi_0 &= 0 \\
 \int \frac{d\psi_0}{\psi_0} &= - \int \frac{m\omega x}{\hbar} dx \\
 \ln(\psi_0) &= -\frac{m\omega}{\hbar} \frac{x^2}{2} + A_0 \\
 \psi_0 &= A \exp\left(-\frac{m\omega}{2\hbar} x^2\right)
 \end{aligned}$$

Putting this into Eq. 10, we have:

$$\begin{aligned}
 \left(a_+ a_- + \frac{1}{2} \hbar \omega \right) \psi_0 &= E_0 \psi_0 \\
 a_+ (a_- \psi_0) + \frac{1}{2} \hbar \omega \psi_0 &= E_0 \psi_0 \\
 E_0 &= \frac{1}{2} \hbar \omega
 \end{aligned}$$

To get the excited states, we can continue to apply the raising operator a_+ :

$$\psi_n = A_n (a_+)^n \exp\left(-\frac{m\omega}{2\hbar} x^2\right)$$

The corresponding energy is:

$$E_n = \left(\frac{1}{2} + n \right) \hbar \omega$$

Bibliography

- [1] N. Asmar, *Partial Differential Equations with Fourier Series and Boundary Value Problems*. Pearson Prentice Hall, 2005. [Online]. Available: <https://books.google.com/books?id=2FonAQAAIAAJ>
- [2] D. Griffiths, *Introduction to Quantum Mechanics*. Cambridge University Press, 2017. [Online]. Available: <https://books.google.com/books?id=0h-nDAAAQBAJ>